# What's Your Five-Year Device Sterilization Plan Look Like?

Wendy Mach, Canyon Labs

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### Agenda

Navigating Sterilization Validation Requirements
Regulatory Understanding
Novel Methods
🛕 Gamma/E-beam/Xray
놀 Steam
Line Oxide



#### **Navigating Sterilization Validation Requirements**

- Sterilization is a processing step within the overall healthcare manufacturing process
- Testing on the device allows the Manufacturer to determine the level of microbial load on a device and thereby define the probability of a viable microorganism commonly referred to as Sterility Assurance Level (SAL).

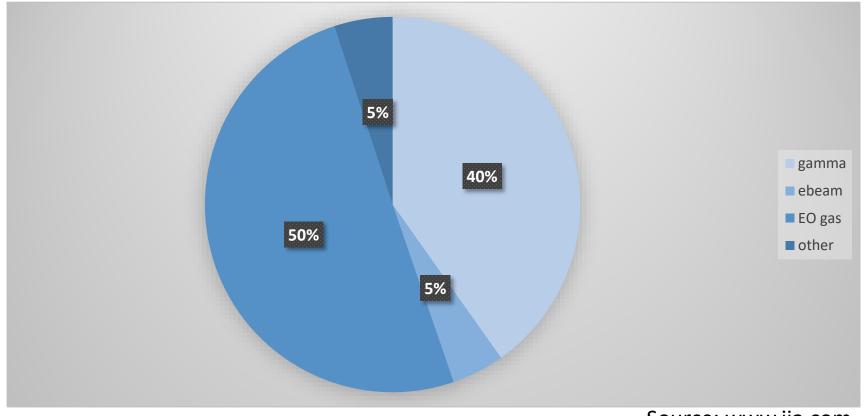


# Navigating Sterilization Validation Requirements

- Sterilization refers to any process that removes, kills or deactivates all forms of life and other biological agents present in or on a surface, object or fluid.
- The sterilization modality depends on several factors:
  - Materials
    - Compatible with repeated exposures
  - Packaging
    - Maintains SBS and Shelf life
  - Design/Complexity
  - Process availability, location and capacity
  - Cost and time



#### **Sterilization Market Breakdown**



Source: www.iia.com



# **Regulatory Understanding**

FDA - Sterilization modalities are segregated into two categories.

#### Category A

- Dry heat
- EO with devices in a fixed, rigid chamber
- Moist heat or steam
- Radiation (gamma, e-beam, Xray)
- Vaporized Hydrogen Peroxide (H<sub>2</sub>O<sub>2</sub>)

#### Category B

- Ozone (O<sub>3</sub>)
- Flexible bag systems

   (e.g., EO in a flexible bag system, diffusion method, injection method



#### **Novel Sterilization Methods**

"The specific process does not appear to have been evaluated by FDA, either because the parameters of an FDA-cleared sterilizer have been altered, or because process validation data have not been evaluated and found to be adequate in previous cleared or approved submissions"





## **Novel Sterilization Methods**

Flexible bag systems (EO in a flexible bag system, diffusion method, injection method)

• TIR 56 Guidance for the development and routine control of an EO process using Flexible Bag Systems.

Ozone (O<sub>3</sub>) Chlorine Dioxide (chamber/flexible bag system) Ultraviolet



www.sterility.com



#### **Sterilization Modalities - Gamma**

Source is Cobalt 60, ~3-6 hours processing

High penetration capability

Compatible with high density products

On certain materials, embrittlement, discoloration, change in viscosity due to irradiation





### **Sterilization Modalities - Gamma**

#### Requirements outlined in ISO 11137

- Part 1: Requirements for validation and routine control
- Part 2: Establishing the dose
- Part 3: Dosimetry and Process Control

IQ/OQ are performed by the contract facility

Bioburden based approach

• Based on inactivation probability model

Density of load, can penetrate up to 0.40 g/cm<sup>2</sup>

Pallets or boxes can be processed



# **Sterilization Modalities – Gamma**

- Each 'product family' is dose mapped by placing dosimeters throughout the product load.
- This verifies that the minimum specified dose is applied to the product, within the product packaging, and that the maximum specified dose is not exceeded.
- Performed using Radiochromic film or Alanine Dosimeters - measures absorbed dose using a spectrophotometer
- Radiation indicator is not the same as a Dosimeter – go /no go tool

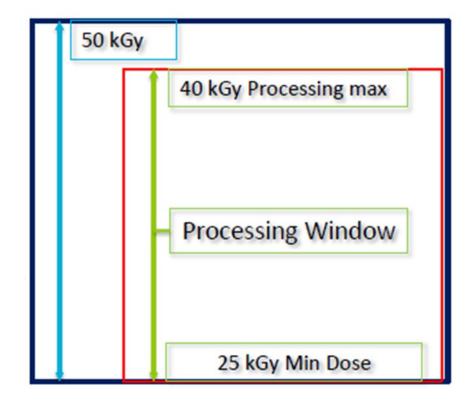






### **Sterilization Modalities - Gamma**

• Validate product to max dose plus 5-10 kGy
 • Validate product to max dose plus 5-10 kGy
 • Validate product to max dose plus 5-10 kGy
 • Validate samples at 50 kGy
 • Perform product functionality testing
 Also package, biocompatibility, tox... testing
 • Allows for dose augmentation or accidental overdose





### **Sterilization Modalities - Gamma**

Choosing the "right" method

VDmax most common (ISO 13004)

• Uses fewest test articles

Method 1 - dose directly correlates to bioburden amount–lower dose

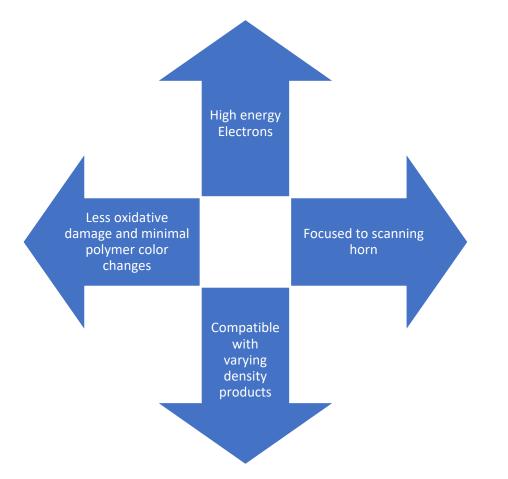
• 100 sample sterility test

Method 2 - dose directly correlates to bioburden resistance–lowest dose possible, incremental

• Uses the most samples for validation



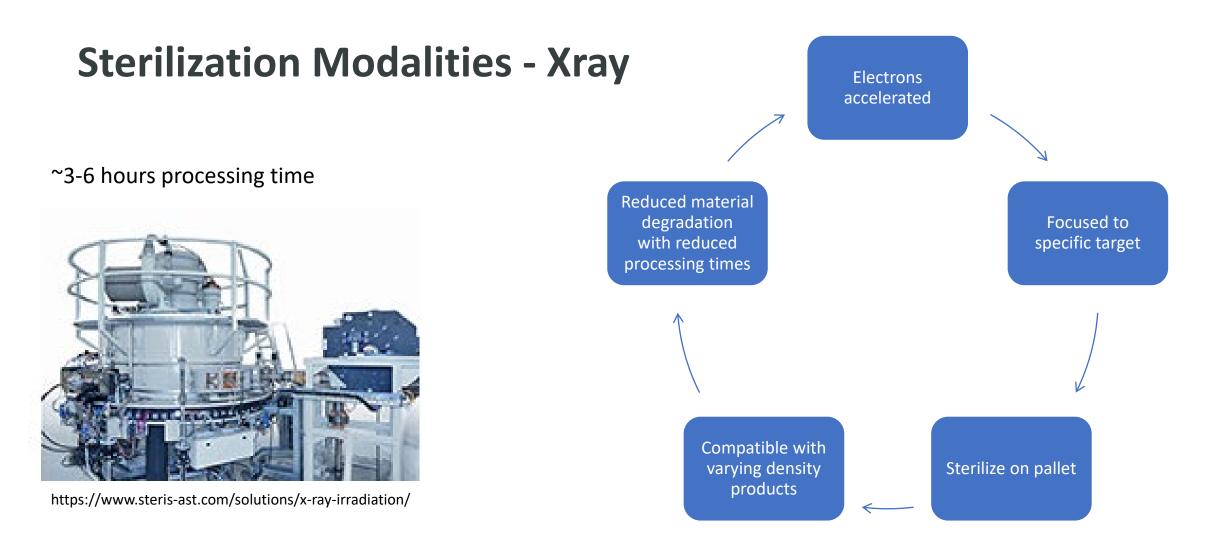
#### **Sterilization Modalities – E-beam**





~30 minutes processing time







TIR35 Product Adoption and alternative sampling plans

Points of Consideration

- Max Dose does candidate device still function?
- Product Design
  - $\odot$  Surface area
  - $\odot$  Materials –product and packaging
  - Biocompatibility
- Environment
  - $\circ$  Bioburden



#### Paper Justification

• Demonstrates the technical review does not identify significant differences

#### **Bioburden Adoption**

- Most common
- Includes technical review and bioburden testing
  - $\odot$  Numbers and types of organisms are similar

#### **Dose Audit Adoption**



TIR104:2022 Guidance on transferring healthcare products between radiation sterilization sources

25-40 kGy has been "standard" dose for many years

- Coincides with up to 1,000 CFU, "overkill" process
- Consider validations using lower minimum doses
  - 17.5 kGy carries maximum bioburden of 9 CFU
  - 20.0 kGy carries maximum bioburden of 45 CFU
  - Must coordinate with irradiator first understand processing categories
- Larger dose range allows for more potential availability in scheduling (25-45 to 25-50 kGy)



### **Sterilization Modalities - Steam**

ANSI/AAMI ST79 "Comprehensive guide to steam sterilization and sterility assurance in health care facilities"

- Achieved by exposing items to saturated steam under pressure.
- Low cost, safe, no residues
- Not compatible with oils or lubricants
- IQ/OQ/PQ are performed by the facility

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#### **Sterilization Modalities - Steam**





#### 3 phase approach

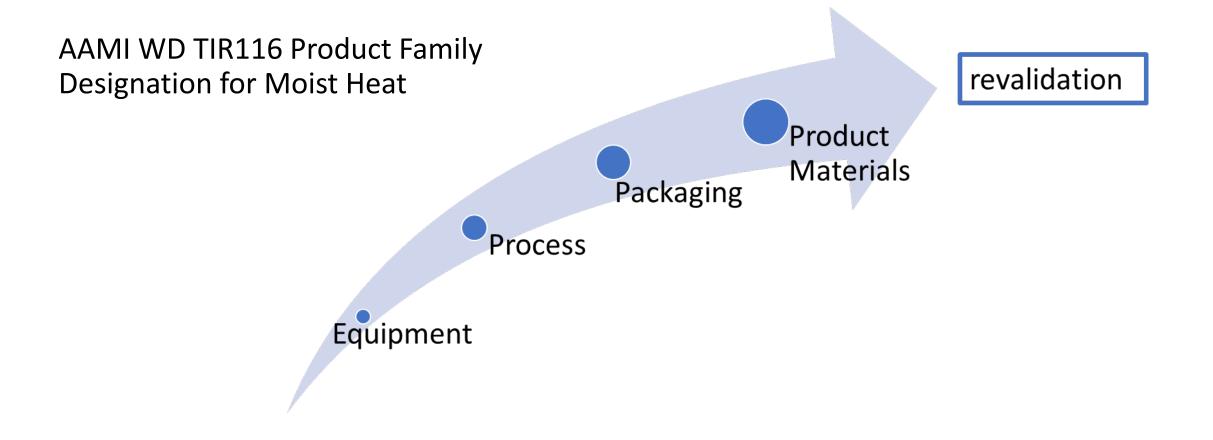
Conditioning – air is removed from the chamber (gravity or dynamic air removal)

Exposure – times and temps can be country-specific

Exhaust – steam is removed from the chamber

Monitored by mechanical, chemical, and biological monitors. Quality of the water has requirements







Many medical devices cannot be sterilized by methods other than EO for the following reasons:

- Gamma and e-beam radiation can make plastics brittle or cause certain non-woven materials to disintegrate
- Steam is high temperature and can melt plastics and/or damage products sensitive to heat and/or moisture
- Hydrogen peroxide and gas plasma are intended for small-scale, surface sterilization and have limited penetration with devices that have interior chambers or mated surfaces





According to FDA "the most commonly used method in the U.S. to sterilize medical devices is
ethylene oxide" <sup>1</sup>



The sterilization process typically consists of 3 phases, can be performed in a single chamber

Preconditioning Exposure Aeration



Monitored by mechanical, chemical, and biological monitors



Cycles are based on an overkill approach (SAL 10<sup>-12</sup>)



Designed for pallets or boxes

**O**<sup>°</sup>MTEC<sup>°</sup>

ISO 11135-1 Sterilization of health care products – Ethylene oxide – Requirements for development, validation, and routine control of a sterilization process for medical devices.

IQ/OQ are performed by the contract facility

PQ

- Microbiological PQ demonstrates that, on application of the sterilization process, the specified requirements for sterility are met.
- Physical PQ demonstrates

1) reproducibility of the process (a minimum of three consecutive runs in which all the specified acceptance criteria are met) and

2) that the specified acceptance criteria are met throughout the load for the duration of the proposed routine process specification.



#### **Process Challenge Device Selection (PCD)**

Evaluates the delivered lethality of the selected process parameters. This is done by placing a biological indicator (BI) within the product at a location where sterilizing conditions are the most difficult to achieve.

Internal PCDs (iPCDs) are medical products or devices selected by the manufacturer as the most difficult to sterilize products based upon design and material composition and are used for validations. Demonstrates required SAL is delivered.

External PCDs (ePCDs) are placed external to the product during routine processing to facilitate retrieval from the load after processing.





#### **Reference Load Selection**

The reference load is selected that identifies the worst-case load anticipated for routine sterilization

Product load density – max conditions

Product volume – max conditions

Product/packaging/load venting – netting/shrink wrap

Winter Conditions/Preconditioning Study may be done to simulate conditions in a trailer during colder weather months.



**Fractional Cycle** 

"A cycle of short duration from which survivors can be recovered shall also be run to demonstrate the adequacy of the recovery technique for BIs exposed to EO gas." ISO 11135:2014 Sec B.1.2

Cycle performance analysis Product sterility testing Bacteriostasis/fungistasis testing BI sterility testing (process challenge devices)



Microbial PQ- Half Cycles (3 min) to demonstrate repeatability of a 6 SLR of the BI utilizing minimum parameters, including one half of the intended routine exposure time.

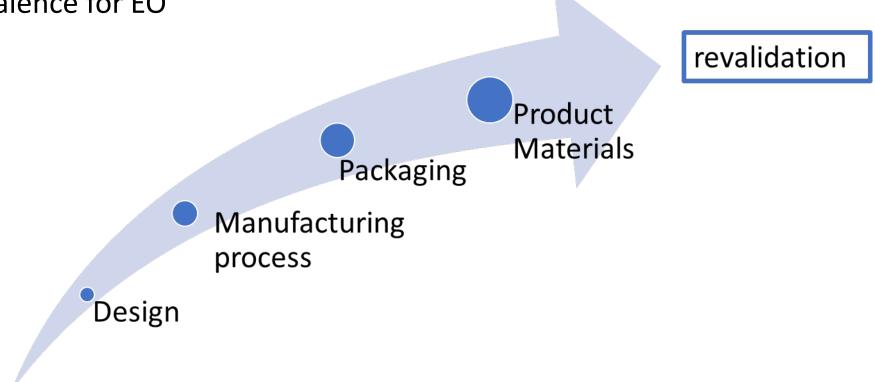
- Cycle performance analysis
- BI sterility testing (PCDs)
- Load temperature/humidity monitoring

Physical PQ- Full Cycles (3) determination (and confirmation) of residues and for product/packaging functionality evaluations.

- Cycle performance analysis
- BI sterility testing (PCDs) nominal
- EO/ECH residual testing (don't forget multiple exposures) max parameters
- Load temperature (minimum)/humidity monitoring



• TIR 28 Product adoption and process equivalence for EO





# EPA Changes to EO Sterilization & the Impact to Current Validated Cycles

Contract Sterilization Facilities:

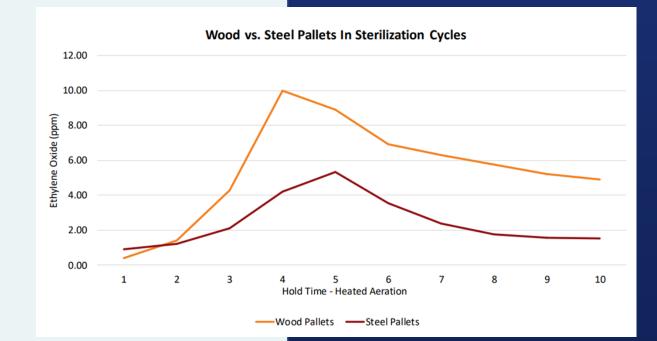
- 2.5 to 3.5 years to comply with EPA's requirements instead of 18 months
- need to reduce emissions to levels representative of the tons per year used.

No requirement for MDMs to revalidate to lower concentration levels



# EPA Changes to EO Sterilization & the Impact to Current Validated Cycles

- Material considerations:
  - Pallet options
  - Levels of packaging materials/types
- Process Optimization:
  - Minimize transfer time between chambers
  - Reduced exposure time
  - Reduced concentration
  - Varying vacuum conditions
  - Optimize aeration
- Maximize vessel volume
- Evaluate PCD resistance



#### Conclusion

Sterilization plays a crucial role in maintaining health and safety across various sectors, from healthcare facilities to industrial applications.

Traditional sterilization methods will continue to dominate the market based on

Scalability - (30 pallets)

- Penetration ability
- Material compatibility

Continued focus on alternative methods may provide options for products that may have been pigeonholed.



# **Thank You**

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#### Resources

- <u>Recognized Consensus Standards: Medical Devices (fda.gov)</u>
- <u>Sterilization for Medical Devices | FDA</u>
- EN 556-1 Sterilization of Medical Devices Requirements for Medical Devices to Be Designated "Sterile" Part 1: Requirements for Terminally Sterilized Medical Devices



# **THANK YOU**

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